

# Lecture 5

## First Order Transfer Function Models

**Process Control**  
**Prof. Kannan M. Moudgalya**

**IIT Bombay**  
**Tuesday, 30 July 2013**



# Outline

1. Thermal sensor as a first order system
2. Scilab demonstration of curve fitting
3. First order systems in series

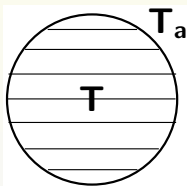
## Scilab demonstration



# 1. Thermal sensor as a 1 order system



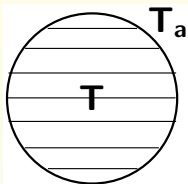
# Thermocouple to Measure Temperature



- ▶ **Shaded: sensor**
- ▶ **Mass  $m$  and heat capacity  $C_p$**
- ▶ **Area available for heat transfer**
- ▶ **Initially, sensor and ambient are at  $T$**
- ▶ **All of a sudden, the ambient temperature goes to  $T_a$**
- ▶ **Model this: get  $T$  as a fn. of  $T_a$**



# Model of temp. measurement system



$$mC_p \frac{dT(t)}{dt} = hA(T_a - T(t))$$

- ▶ Initially, sensor & ambient at  $\bar{T}$
- ▶ Ambient temperature goes to  $T_a$
- ▶ Subtract the steady state and express in deviational variables
- ▶  $\Delta T(s) = G(s)\Delta T_a(s)$
- ▶  $G(s) = \frac{1}{\tau s + 1}$ . Gain? Why?
- ▶ where,  $\tau = \frac{mC_p}{hA}$
- ▶ What is the unit of  $\tau$ ?

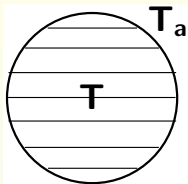


# A sample problem statement

- ▶ A thermometer at  $30^{\circ}\text{C}$  is suddenly immersed into boiling water
- ▶ Thermometer be modelled as a first order system with time constant of 0.5min
- ▶ Calculate the temperature profile as indicated by the thermometer.



# Solution to thermometer problem



- ▶  $Y(s) = G(s)U(s)$
- ▶  $G(s) = \frac{K}{0.5s + 1}, K = 1$
- ▶  $U(s) = \text{ambient temperature}$
- ▶  $Y(s) = \text{temperature indicated by the thermometer, deviational}$
- ▶  $y(t) \leftrightarrow Y(s), u(t) \leftrightarrow U(s)$
- ▶ Initial temperature =  $30^\circ\text{C}$

1.  $y(t) = T(t), u(t) = T_a(t).$
2.  $y(t) = T(t) - 30, u(t) = T_a(t).$
3.  $y(t) = T(t), u(t) = T_a(t) - 30.$
4.  $y(t) = T(t) - 30, u(t) = T_a(t) - 30.$  right



# Can also use appropriate variables

- ▶  $\Delta T(s) = \frac{1}{\tau s + 1} \Delta T_a(s)$
- ▶  $\Delta T(t) \leftrightarrow \Delta T(s), \Delta T_a(t) \leftrightarrow \Delta T_a(s)$
- ▶  $\tau = 0.5\text{min}$



- ▶  $\Delta T_a(t) = \begin{cases} 0 & t < 0 \\ 70 & t \geq 0 \end{cases}$
- ▶  $\Delta T_a(s) = \frac{70}{s}$
- ▶  $\Delta T(t) = 70 (1 - e^{-t/0.5})$
- ▶  $T(t) = 30 + \Delta T(t)$



## 2. Scilab Demonstration of Curve Fitting



# Recall: Step response of a first order system

**Let the plant have a 1st order transfer function:**

$$y(s) = \frac{K}{\tau s + 1} u(s)$$

**Its step response is given by,**

$$y(t) = K \left( 1 - e^{-t/\tau} \right)$$

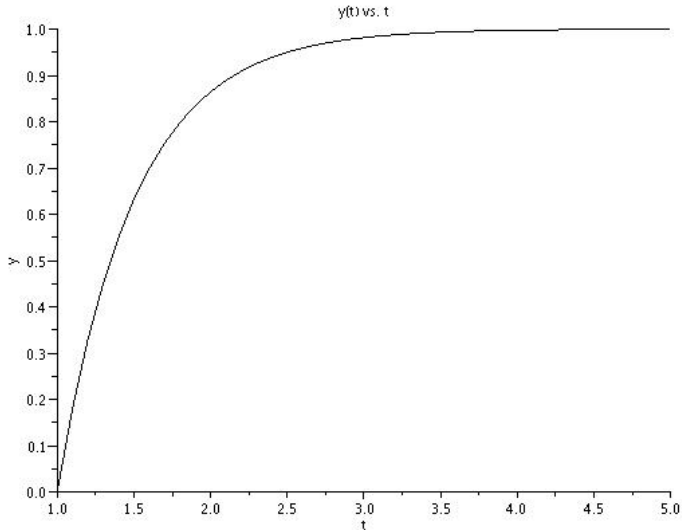


# Scilab code to plot step response of a first order system: 02-step.sce

```
1  s = %s
2  tau = 0.5;
3  G = 1/(tau*s+1)
4  t = 1:0.1:5;
5  y = csim('step',t,G);
6  plot2d(t,y)
```



# Step response of 1 order system in Scilab



# Curve Fitting

- ▶ **Given model parameters  $\tau$  and  $K$ , we can obtain step response**
- ▶ **Can we back-calculate?**
- ▶ **Given the step response, can we calculate  $\tau$  and  $K$ ?**



# Identification of first order TF through step response

- ▶ Experimental data have noise
- ▶ Does it affect identification?
- ▶ Demonstrate with

$$G(s) = \frac{2.5}{0.75s + 1}$$

with noise superimposed



# Data generation to simulate a noisy system: step-noise.sce |

```
1  s = %s
2  tau = 0.75;
3  G = 2.5/(tau*s+1)
4  t = 0:0.01:5;
5  y = csim('step',t,G);
6  plot2d(t,y)
7  xlabel("t")
8  ylabel("y")
9  xtitle("y(t) _ vs . _ t")
```



# Data generation to simulate a noisy system: step-noise.sce II

```
10 noise = rand(1,length(y),'normal'  
    ');  
11 ynoise = y + 0.25*noise;  
12 // plot2d(t, ynoise)  
13 y2noise = y + 0.025 * y .* noise  
    ;  
14 plot2d(t, y2noise)
```



We will use noise corrupted  $y$  as the plant data



# Can we extract the model parameters by curve fitting using plant data?



# Curve fitting by optimisation

- ▶ **Plant data:**  
 $y = \text{noise} + \text{output of the plant with}$   
 $G = 2.5/(0.75s + 1)$
- ▶ **Fit this with the output  $y_{\text{prediction}}$  from a plant with transfer function with**  
 $G = K/(\tau s + 1)$
- ▶ **Get  $K$  and  $\tau$  by minimising**  
 $\|y - y_{\text{prediction}}\|^2$
- ▶ **What should we get for  $K$  and  $\tau$ ?**



# Scilab code to identify a first order transfer function: order\_1.sce |

```
1  exec( 'step-noise.sce' );  
2  exec( 'costf_1.sci' );  
3  y = y2noise;  
4  global( 'y', 't' );  
5  
6  x0 = [3  2];  
7  [f, xopt, gopt] = optim( costf_1, 'b  
    ', [0.1  0.1], [5  5], x0, 'ar'  
    , 500, 500)  
8  kp = xopt(1);
```



# Scilab code to identify a first order transfer function: order\_1.sce II

```
9  tau = xopt(2);  
10 y_prediction = kp * ( 1 - exp(-t  
    /tau) );  
11 format( 'v' ,6);  
12 plot2d(t,y)  
13 plot2d(t,y_prediction)
```



# Scilab code for objective function: costf\_1.sci |

```
1  function [f,g,ind] = costf_1(x,  
    ind)  
2  kp = x(1); tau = x(2);  
3  y_prediction = kp * ( 1 - exp(-t  
    /tau) );  
4  f = (norm(y-y_prediction ,2) ) ^2;  
5  g = numdiff(func_1 ,x);  
6  endfunction  
7
```



# Scilab code for objective function: costf\_1.sci ||

```
8  function f = func_1(x)
9  kp = x(1); tau = x(2);
10 y_prediction = kp * ( 1 - exp(-t
    /tau) );
11 f = (norm(y-y_prediction ,2)) ^2;
12 endfunction
```

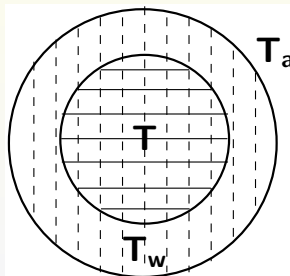


# 3. First order systems in series



# More realistic model of thermometer

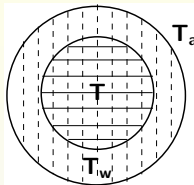
- ▶ Modelled as a first order system
- ▶ A more realistic model:



- ▶ The sheath is also modelled
- ▶ Its temperature is denoted as  $T_w$ , T-wall
- ▶ Derive the second order relation between  $\Delta T_a(s)$  and  $\Delta T(t)$



# More realistic model of thermometer



- ▶ Model of the sensor:
$$mC_p \frac{dT}{dt} = h_{sw} A_{sw} (T_w - T)$$
- ▶ Model of the wall:
$$m_w C_{pw} \frac{dT_w}{dt} =$$
$$h_{wa} A_{wa} (T_a - T_w) - h_{sw} A_{sw} (T_w - T)$$
- ▶ Derive the relation between  $T_a$  and  $T$
- ▶ Same procedure as before: deviational
- ▶ Order of the transfer function: second



# Model derivation method

- ▶ Laplace transform models are in deviational form
- ▶ For each equation, subtract the corresponding steady state
- ▶ Assume small deviation, if required
- ▶ Take Laplace transform of each equation and simplify
- ▶ Substitute the expression for  $T_w$  into the other equation
- ▶ You will get  $T$  as a function of  $T_a$  only
- ▶ The transfer function will be second order: denominator will be a second degree polynomial



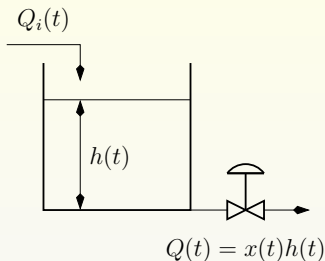
# Will do it as an assignment



# Another second order example



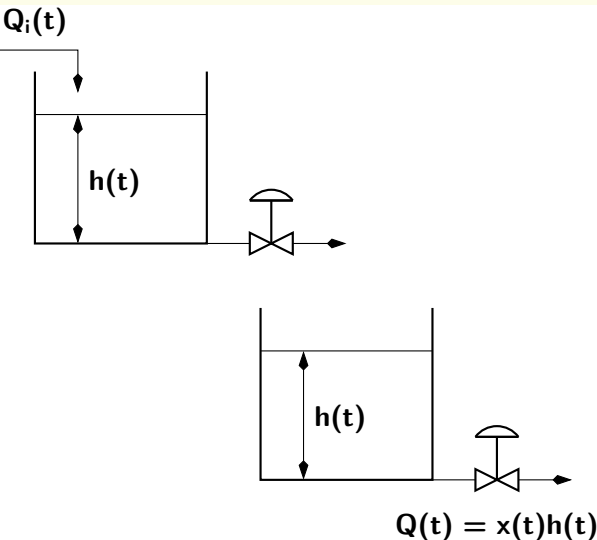
# Recall: Model of Flow Control System



- ▶ Suppose that  $x$  is constant
- ▶ 
$$\Delta h(s) = \frac{K_1}{\tau s + 1} \Delta Q_i(s)$$
- ▶  $\tau = A/x_s$ ,  $K_1 = 1/x_s$ ,  $K_2 = h_s/x_s$ .
- ▶  $\Delta Q(s) = x_s \Delta h(s)$



# Two tanks in series



- ▶ Let out flow rate of 1 tank  $= \Delta Q_1(s)$
- ▶  $\Delta Q_1(s) = G_1(s)\Delta Q_i(s)$
- ▶  $\Delta Q(s) = G_2(s)\Delta Q_1(s)$
- ▶  $= G_2(s)G_1(s)\Delta Q_i(s)$

How do you model this?



# Comparing response of first and second order systems

- ▶ **Response of**
  - ▶ a first order system
  - ▶ two first order systems in series**are similar**

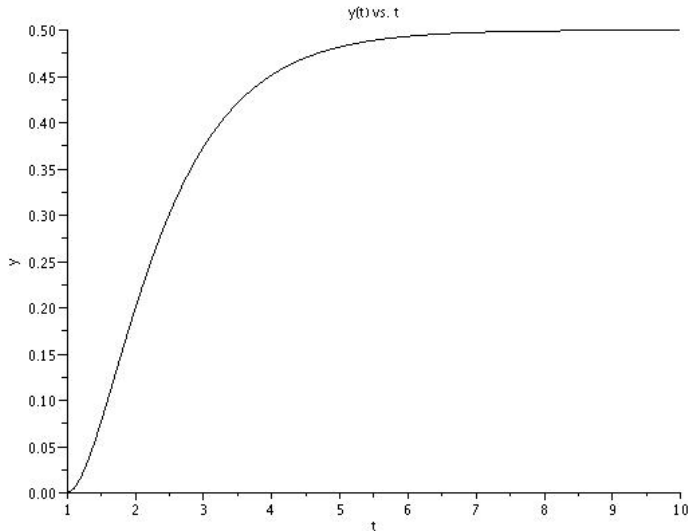


# Scilab code to plot step response of a second order system: step-2nd.sce

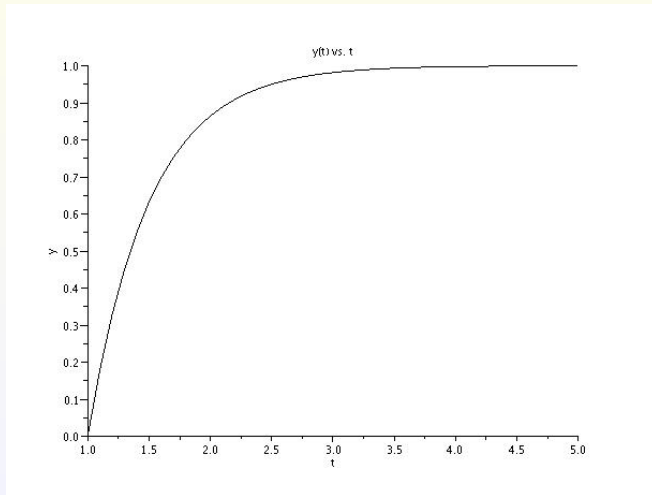
```
1  s = %s
2  G_1 = 1/(s+1)
3  G_2 = 1/(s+2)
4  G = G_1 * G_2
5  t = 1:0.1:10;
6  y = csim('step',t,G);
7  plot2d(t,y)
8  xlabel("t")
9  ylabel("y")
10 xtitle("y(t) _ vs . _ t")
```



# Step response of second order system



Recall: step response of a first order system:  $1/(0.5s + 1)$



# Can we interchange data and model?



# What is learnt today

- ▶ **First order system**
- ▶ **Second order systems**
- ▶ **Examples**
- ▶ **Scilab demonstration**



# Thanks

